

Autoxidation of Hydrocarbons: From Chemistry to Catalysis

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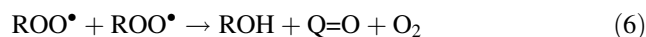
Abstract This contribution summarizes our recent efforts to unravel the radical chemistry of autoxidations. Abstraction of the weakly bonded α H-atom of the primary hydroperoxide product by chain carrying peroxy radicals is identified as the source of major end products such as alcohol and ketone/aldehyde. In the case of cyclohexane autoxidation, this reaction is even responsible for the majority of ring-opened by-products. In a second part, different approaches to autoxidation catalysis are discussed, ranging from transition metal ion catalysis to organocatalysis with immobilized *N*-hydroxyphthalimide.

Keywords Catalyst immobilization · Kinetics · Mechanism · Radicals

1 Introduction

Autoxidation chemistry plays a pivotal role in the chemical industry as it upgrades relatively cheap hydrocarbons to

value-added oxygenated products [1–4]. Important examples are for instance the oxidation of cyclohexane to cyclohexanone and cyclohexanol (6×10^6 annual tons), the synthesis of terephthalic acid from *p*-xylene (30×10^6 annual tons) and the oxidation of cumene to cumene hydroperoxide (5×10^6 annual tons), the first step in the production of phenol. Advantageously, these processes use molecular oxygen as the terminal oxidant, rather than expensive and/or noxious alternatives. Unfortunately, the underlying radical mechanism is not always as selective as desired, often leading to by-products, even at low conversions. This is especially the case for cyclohexane autoxidation where the conversion is industrially limited to less than 5% in order to avoid the formation of large quantities of ring-opened by-products. Therefore cyclohexane is an interesting model substrate to study the autoxidation mechanism. Indeed, despite the industrial relevance, the radical chemistry of these processes remained poorly understood for several decades. Generally, autoxidation chemistry has long been explained by reactions (1–6) [1–4].



Reaction (1) is the homolytic dissociation of a hydroperoxide molecule (ROOH) into oxygen-centered alkoxy (RO $^\bullet$) and hydroxyl ($^\bullet$ OH) radicals. In absence of a catalyst, this reaction was assumed to be the dominant chain-initiation reaction, rationalizing why traces of ROOH are often

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initially added to the alkane substrate to light-off the reaction. Both the RO^\bullet and $\bullet\text{OH}$ radicals react very fast with the substrate (reactions 2 and 3) to produce alkyl radicals (R^\bullet). These species add oxygen in a diffusion controlled reaction (4), producing peroxy radicals (ROO^\bullet), able to abstract H-atoms from the substrate, thereby producing hydroperoxide and regenerating the alkyl radical (reaction 5). Reactions (4) and (5) form a propagation cycle which is repeated many times before peroxy radicals are destroyed in a mutual termination reaction (6), yielding an equimolar amount of alcohol (ROH) and ketone ($\text{Q}=\text{O}$). This termination reaction is compensating the initiation reaction, leading to a quasi steady-state in peroxy radicals. The ratio between the rate of propagation and termination is the so-called chain length and is generally accepted to be a large number (i.e., >10) [1]. This simple reaction scheme thus attributes ROOH to a fast propagation reaction whereas $\text{Q}=\text{O}$ would only originate in a much slower termination step; alcohol is additionally produced in a fast alkoxy abstraction reaction (2), subsequent to the slow initiation reaction. This view is however contradicted by the experimental alcohol and ketone yields being of the same order of magnitude as the ROOH yield. Moreover, the negative second derivative of the ROOH evolution and the positive second derivative of the ROH and $\text{Q}=\text{O}$ evolutions as a function of the sum of products (Fig. 1) unambiguously identify ROOH as primary, and ROH and $\text{Q}=\text{O}$ as secondary products, respectively [5, 6]. During cyclohexane autoxidation, the ROOH yield even goes through a maximum, pointing to a destruction mechanism which becomes faster than the formation reaction (5) (see Fig. 1). Nevertheless, such a reaction is missing in the textbook mechanism outlined above.

2 The Formation of Radicals

Chain initiation reaction (1) is another weak point in the established reaction scheme. This reaction is indeed not only very slow, due to its 40 kcal mol^{-1} energy barrier, it is also

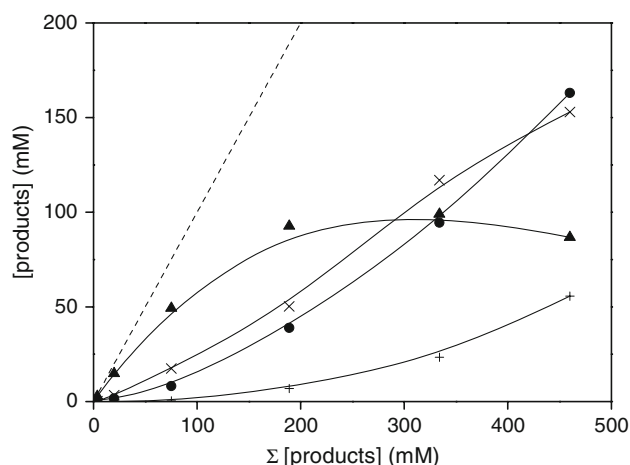
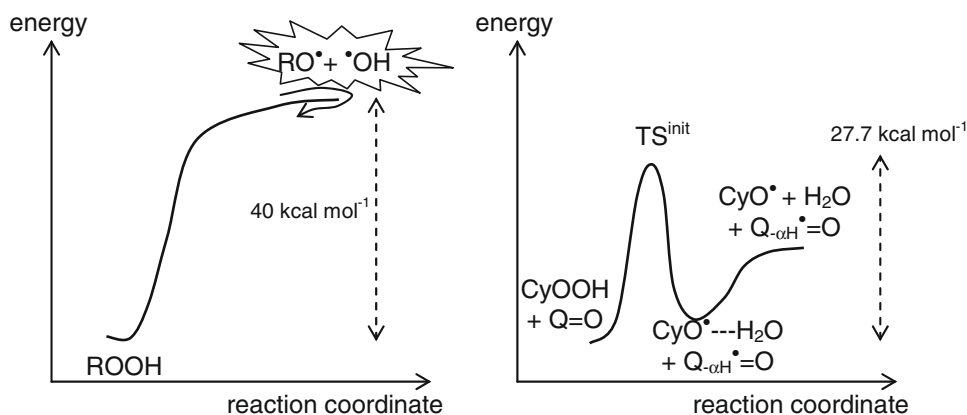


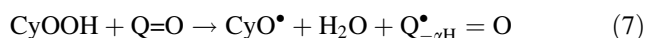
Fig. 1 Evolution of the cyclohexane autoxidation products at 130°C as a function of the sum of products: CyOOH (▲), CyOH (×), $\text{Q}=\text{O}$ (●), by-products (+). The dashed line represents the sum of products

highly inefficient in generating radicals in the liquid phase. Indeed, the nascent RO^\bullet and $\bullet\text{OH}$ radicals will rather recombine within their Franck–Rabinowitch solvent-cage (Fig. 2) than to diffuse away from each other, a process which faces a diffusion barrier due to their mutual attraction [7].

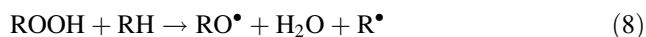
Nevertheless, radicals are formed, and especially during the autoxidation of cyclohexane the rate of the initiation is known to even increase significantly during the reaction [7]. We were able to experimentally measure the pseudo-first-order rate constant of the initiation process during cyclohexane autoxidation and found it proportional to the initially added $\text{Q}=\text{O}$ concentration. This correlation characterizes and kinetically quantifies a bi-molecular reaction between CyOOH and cyclohexanone as initiation mechanism. Different reactions were proposed and the rate of them predicted from first principles, based on detailed transition state theory calculations. The most likely mechanism, sustaining all experimental observations, is a reaction in which the $\bullet\text{OH}$ -radical breaking away from the $\text{CyO}-\text{OH}$ molecule abstracts a weakly bonded αH -atom from cyclohexanone (reaction 7, Fig. 2) [7].

Fig. 2 Evolution of the potential energy as a function of the reaction coordinate for reactions (1) and (7) [7]



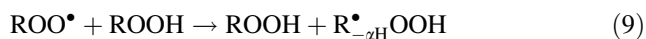


Reaction (7) is not only faster than reaction (1) due to its loose and energetically low transition state, the reaction is also more efficient in generating free radicals because in-cage recombination is hampered among others by resonance stabilization in the ketonyl product radical ($\text{Q}^\bullet_{-\alpha\text{H}}=\text{O}$). Therefore, during the main part of the cyclohexane autoxidation, initiation takes place via reaction (7). Because of the sharp $\text{Q}=\text{O}$ concentration increase during cyclohexane autoxidation, the initiation rate also increases rapidly, leading to the observed autocatalytic up-swing. At low CyH conversion, where $[\text{Q}=\text{O}]$ is still low, a similar but significantly slower initiation mechanism takes place with the alkane substrate (reaction 8) featuring a barrier of 28.8 kcal mol⁻¹, i.e., 1.1 kcal mol⁻¹ higher than for reaction (7) [7]. An analogous mechanism is also the dominant radical source throughout ethylbenzene oxidation as no products are formed which exhibit a major lighting-off potential as cyclohexanone [8]. The latter also explains why the ethylbenzene conversion does not increase exponentially as a function of time as the cyclohexane conversion does.



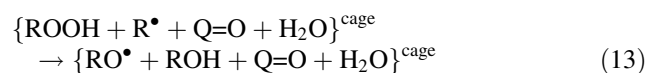
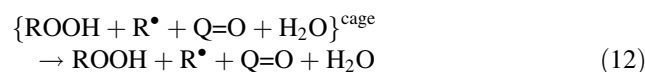
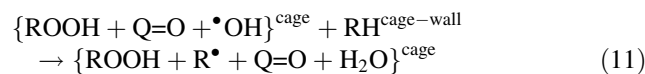
3 The Formation of the Alcohol and Ketone Products

Besides elucidating the initiation mechanisms of autoxidations, we were also interested to unravel the reaction paths leading to products. A combined experimental and theoretical investigation pointed out that the ROOH product reacts significantly faster with the chain-carrying peroxy radicals than the RH substrate itself. E.g., $k^{\text{ROOH}}/k^{\text{RH}}$ was found to be 55 for cyclohexane [5, 6], 20 for toluene [9] and 10 for ethylbenzene [8]. Therefore we were keen to find out which products are produced after this fast αH -abstraction from ROOH. A theoretical investigation demonstrated that the initially formed $\text{R}_{-\alpha\text{H}}^\bullet\text{OOH}$ radical (reaction 9) is unstable and promptly dissociates to the corresponding carbonyl compound plus $^\bullet\text{OH}$ (reaction 10), without any barrier [10]. The hitherto overlooked ROOH co-propagation could therefore be identified as a fast and straightforward source of ketone or aldehyde, depending on the substrate.



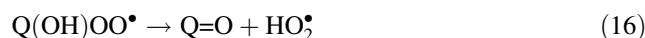
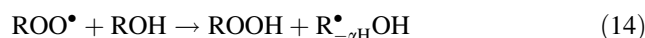
Subsequent to the exothermic dissociation (10), the co-produced $^\bullet\text{OH}$ radical will abstract an H-atom from the RH substrate forming the wall of the solvent-cage around the $\{\text{ROOH} + \text{Q}=\text{O} + ^\bullet\text{OH}\}$ products (reaction 11). This abstraction reaction produces additional heat, putting the overall exothermicity of the ROOH propagation at about 50 kcal mol⁻¹ [5]. This heat will cause the formation of a

nano-sized hot-spot which lasts for a few picoseconds before heat diffusion thermalizes the system. During this short period, the $\{\text{ROOH} + \text{R}^\bullet + \text{Q}=\text{O} + \text{H}_2\text{O}\}$ products can either diffuse away from each other (reaction 12), or the alkyl radical can abstract the OH group of the nascent ROOH molecule (reaction 13). The diffusive separation (12) features a lower energy barrier than cage reaction (13), but by its “Brownian” nature the former also exhibits a much lower frequency factor [5, 6]. Hence, due to the temperature activation, competition can take place.



Based on a detailed stoichiometric and kinetic analysis of the product distributions obtained with various substrates, the reaction flux going through cage-channel (13) could be evaluated experimentally. The cage efficiency follows the stability of the corresponding alkyl radical, as expected: 70% for cyclohexane [5, 6], 56% for toluene [9] and 22% for ethylbenzene [8], respectively. Cage channel (13) could therefore not only be identified as the dominant source of ROH in all investigated autoxidation systems, it also causes a net removal of ROOH, explaining why the CyOOH yield is decreasing at high CyH conversion (Fig. 1). Note that the lower efficiency of reaction (13) for ethylbenzene, compared to cyclohexane, also explains the significantly higher $\text{Q}=\text{O}/\text{ROH}$ ratio observed with this substrate [8].

Co-propagation of the alcohol product proceeds preferentially by the abstraction of the αH -atom (reaction 14) [5, 6]. Although slower than the αH -abstraction from the hydroperoxide (e.g., $k^{\text{CyOOH}}/k^{\text{CyOH}} \approx 5.5$), this reaction is still important and puts its mark on the overall chemistry. Indeed, addition of O_2 to the α -hydroxy-alkyl radical yields an α -hydroxy-alkylperoxy radical (reaction 15). Hitherto this radical was assumed to react as other peroxy radicals, abstracting H-atoms from the substrate and terminating with peroxy radicals. However, we discovered a much faster unimolecular decomposition path for this $\text{Q}(\text{OH})\text{OO}^\bullet$ radical (reaction 16) [11], producing HO_2^\bullet radicals.

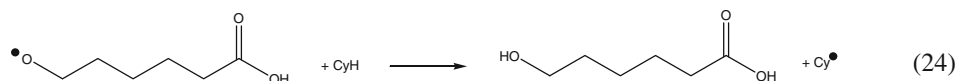


At low conversion (where the $\text{ROO}^\bullet/\text{RH}$ ratio is still low) the HO_2^\bullet radicals will mainly react with the substrate (reaction 17), whereas at higher conversions (viz. higher ROO^\bullet concentrations), HO_2^\bullet will predominantly react diffusion

controlled with peroxy radicals in a head-to-tail termination reaction (reaction 18) [12].



This is important for the autooxidation mechanism as reaction (18) will slow down the overall rate. So whereas during cyclohexane autooxidation cyclohexanone enhances the oxidation rate [7], co-oxidation of cyclohexanol inhibits the process.

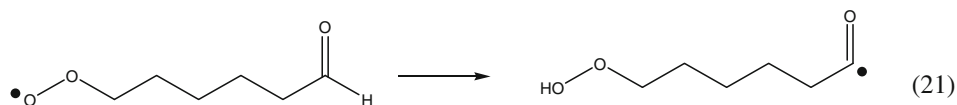
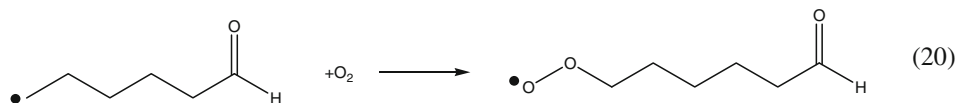


4 Formation of Ring-opened By-products During Cyclohexane Autooxidation

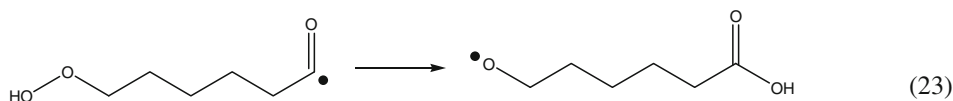
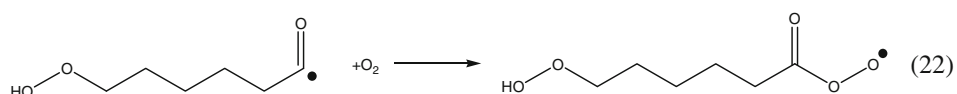
The RO^\bullet radicals co-produced in cage-reaction (13) can in the case of cyclohexane not only react with the alkane substrate (reaction 2), but also decompose via β -C–C scission (19), producing ω -formyl radicals [13].



These $\cdot\text{CH}_2\text{-(CH}_2)_4\text{-CHO}$ radicals will rapidly add O_2 and yield $\cdot\text{OOCH}_2\text{-(CH}_2)_4\text{-CHO}$ radicals (reaction 20), able to isomerize according to reaction (21) [14].

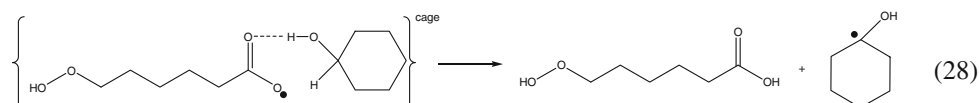
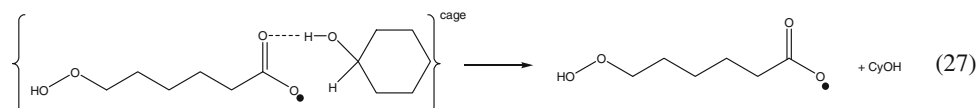
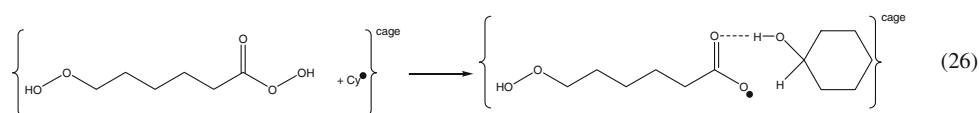
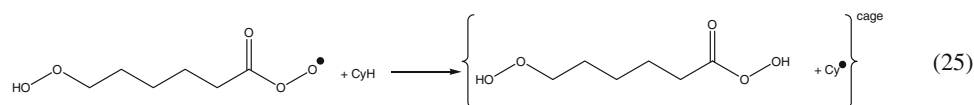


Next there is competition between addition of O_2 to this acyl radical (reaction 22) and a unimolecular 1,7-OH-shift (reaction 23).



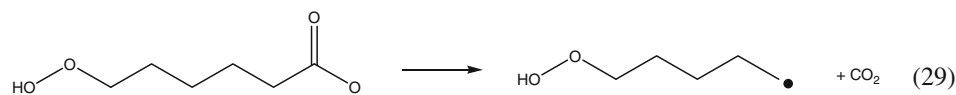
Theoretical calculations suggest that reaction (23) would be slightly favored over reaction (22) [14]. The alkoxy radical thus produced will mainly react with the cyclohexane substrate and yields 6-hydroxyhexanoic acid (reaction 24) as β -C–C cleavage is unimportant for this oxy radical [15].

The $\text{HOOCH}_2\text{-(CH}_2)_4\text{-C(=O)OO}^\bullet$ radical, although formed in a lower quantity, will react with the substrate, producing a nascent peracid (reaction 25). A fast, highly exothermic, subsequent cage-reaction (26) transforms this peracid into an acyloxy radical which can either diffuse away from its CyOH cage-partner (reaction 27), or undergo a second, activated, cage-reaction (reaction 28) [16].



whereas the diffusive separation (27) will ultimately result in decarboxylation (reaction 29), cage-reaction (28) yields 6-hydroperoxy-hexanoic acid, another minor by-product, observed experimentally.

enhance the rate of autoxidations, even at low concentrations [1–4]. Such metals indeed catalyze the initiation reaction via a so-called Haber–Weiss cycle (presumably reactions 30 and 31).



Important to emphasize is the fact that 6-hydroxyhexanoic acid (and to a minor extend also 6-hydroperoxyhexanoic acid) could be identified experimentally as the most important primary by-product [14]. Indeed subsequent oxidation of this 6-hydroxyhexanoic acid (and 6-hydroperoxide-hexanoic acid) results in the formation of other (decarboxylated) side-products. CyO^\bullet radicals, produced in the CyOOH propagation, are thus straightforwardly transformed to (decarboxylated) by-products via the intermediate stage of 6-hydroxy- and 6-hydroperoxy-hexanoic acids (Fig. 3). CyOOH could thus be identified as the most important precursor of by-products, rather than cyclohexanone as assumed in the literature [14]. Indeed, due to the 10 times higher reactivity of CyOOH compared to Q=O , and the $\text{CyOOH}/\text{Q=O}$ ratio being larger than unity, 80% of by-products stem from CyOOH and only 20% from Q=O during the thermal autoxidation process.

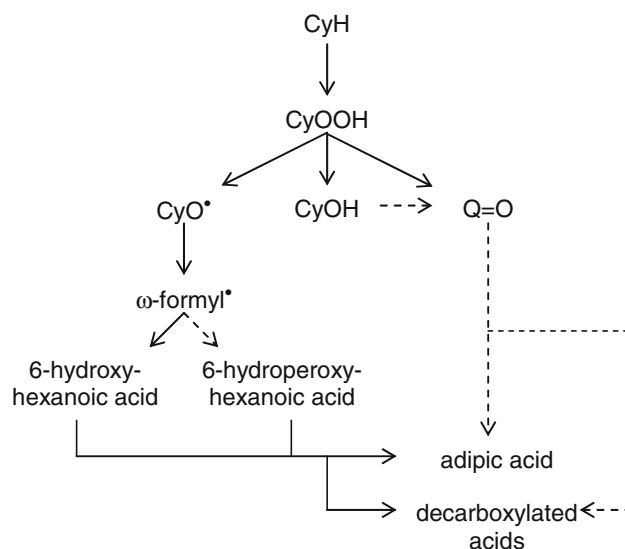
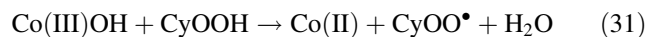
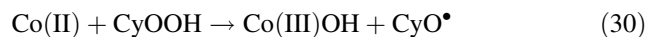


Fig. 3 Formation of (by-)products during the autoxidation of cyclohexane. Minor routes are indicated with dashed lines

5 Catalysis by Transition Metal Ions

It is known that transition metal ions which are able to undergo a one-electron switch (e.g., $\text{Co}^{2+/3+}$) significantly

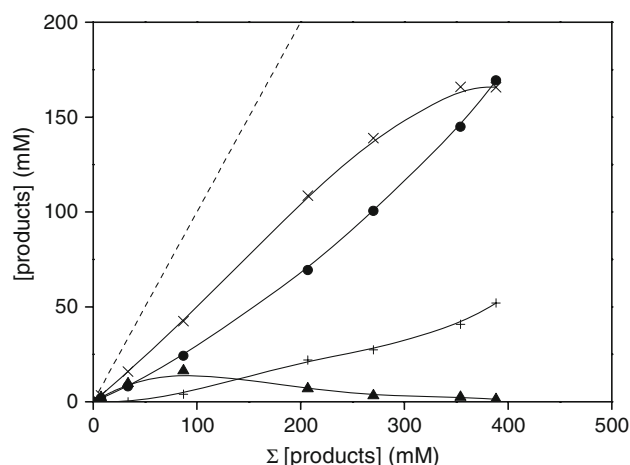


Fig. 4 Evolution of the cyclohexane autoxidation products at 130 °C in the presence of 5 ppm $\text{Co}(\text{acac})_2$ as a function of the sum of products: CyOOH (▲), CyOH (×), Q=O (●), by-products (+). The dashed line represents the sum of products

Reactions (30) and (31) do not only take over the role of chain initiations (7) and (8) but are also responsible for the conversion of CyOOH , rather than reactions (9–13). Important to emphasize is that this catalyzed CyOOH destruction also produces CyO^\bullet radicals, analogous to the CyOO^\bullet induced removal discussed above. A significant part of these CyO^\bullet radicals is converted to by-products, analogous to the thermal system. It thus seems that $\text{Co}(\text{II})$ ions only cause a slight perturbation of the autoxidation chemistry (see Fig. 4) [16]. Indeed, also for the $\text{Co}(\text{acac})_2$ catalyzed cyclohexane autoxidation, 6-hydroxyhexanoic acid could also be identified as the most important primary by-product from which the majority of other (decarboxylated) by-products originate.

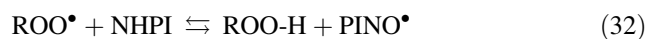
Chromium ions offer even more opportunities as they can additionally catalyze the dehydration of hydroperoxides to ketones [1, 17]. This way, one would be able to achieve an in situ deperoxidation to cyclohexanone, the most desired product which is moreover acting as an autocatalyst in cyclohexane autoxidation (vide supra). Unfortunately, chromium and especially $\text{Cr}(\text{VI})$ is too noxious to be used in a homogeneous process and immobilization is an important prerequisite for its industrial use. Whereas Co ions can for instance be readily substituted for Al ions in $\text{AlPO}_4\text{-n}$ materials [18, 19], immobilization of Cr appears more difficult. Indeed, many of the reported Cr materials turned out to be unstable under the harsh autoxidation conditions and were just slowly releasing Cr as a homogeneous catalyst [20]. Based on state-of-the-art colloid chemistry, and inspired by the low solubility of Cr_2O_3 , we succeeded in the design of a heterogeneous Cr catalyst for cyclohexane autoxidation [21]. In this approach, in situ generated nano-sized $\text{Cr}(\text{III})$ colloids are immediately

immobilized onto an inert support material, freezing the dispersion and avoiding aggregation. By modifying physico-chemical immobilization parameters, the size of the $\text{Cr}(\text{III})$ particles can be tuned between about 2 and 1,000 nm [22]. Whereas the smallest particle range corresponds to elementary building block colloids, the larger, secondary particles can arise by aggregation of the small primary particles prior to their immobilization. These materials were demonstrated to be stable and selective catalysts for cyclohexane autoxidation, causing a nearly complete deperoxidation and a significant boost in Q=O yield, even if only added in 5 ppm [21].

Nevertheless, both with $\text{Cr}(\text{acac})_3$ and immobilized $\text{Cr}(\text{III})$ colloids, all (by-)products appear to be primary, significantly different from the overall product evolution in the thermal or the cobalt catalyzed systems [16]. This suggests that, besides a Haber–Weiss cycle, $\text{Cr}(\text{III})$ ions are also able to catalyze additional steps, some of them directly leading to by-products. Currently these catalytic steps are under investigation.

6 Organocatalysis by *N*-hydroxyphthalimide

In principle one could not only catalyze the chain initiation (vide supra), but also the chain propagation. An approach that received a great deal of attention recently is the use of *N*-hydroxyphthalimide (NHPI) or other appropriate *N*-hydroxyimides ($>\text{NOH}$) [23–43]. Under autoxidation conditions, NHPI is partially converted to phthalimide-*N*-oxyl radicals (PINO^\bullet) by an equilibrated reaction with peroxy radicals (reaction 32) [44].



These PINO^\bullet radicals are found to abstract H-atoms from the RH substrate (reaction 33) even faster than ROO^\bullet radicals, although the O–H bond strength is not significantly higher in NHPI than in ROO-H [43–45].



Moreover, PINO^\bullet radicals terminate much more slowly than peroxy radicals (cfr. reaction 6), making them more efficient chain carriers. Addition of NHPI thus causes an increase in the radical concentration, and yields more reactive radicals. Both these effects together give NHPI and related compounds its unique catalytic properties.

The Catalytic Efficiency (C.E.) of NHPI catalyzed autoxidations can be approximated by Eq. 1 where k^{33} and k^5 represent the rate constants of reactions (33) and (5), respectively, and $K_{\text{eq},32}$ the equilibrium constant of reaction (32) [44].

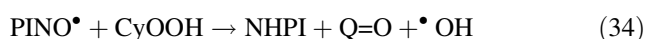
$$\text{C.E.} = 1 + k^{33}/k^5 \times K_{\text{eq},32} \times [\text{NHPI}]/[\text{ROOH}] \quad (\text{Eq. 1})$$

According to this equation, a suitable *N*-hydroxyimide catalyst should have a strong >NO–H bond to allow fast H-abstraction from the substrate by the corresponding >NO• radical, but not too strong as this would shift equilibrium (32) too far towards the less efficient ROO• radicals. It turns out that more, but, as a consequence, slightly less reactive nitroxyl radicals often result in a better catalytic performance [45]. However, if the >NO–H bond in the *N*-hydroxyimide is too weak, the nitroxyl radicals become radical traps, rather than catalysts.

Unfortunately, NHPI could only be used in homogeneous conditions, dissolved in a polar solvent. Due to its cost, recycling of this organocatalyst remained a difficult hurdle. Until recently, there was only one heterogeneous NHPI system reported in the literature, still operating in acetic acid as a solvent [46]. Therefore we aimed for the design of a solvent-free heterogeneous NHPI system [47].

In our approach, the polar NHPI was impregnated on inert support materials, i.e., silica and silica-alumina gels. In an evaluation of the performance of these materials in the autoxidation of cyclohexane, a strong correlation with the polarity of the support surface was observed. Materials with a high surface density of hydroxyl groups, determined by ²⁹Si MAS NMR, were found to deactivate very fast [47]. Supports with a low fraction of hydroxyl groups were found to act as truly heterogeneous and recyclable catalysts, although during the first recycle some loss in activity could be observed. This decreased activity was associated with the appearance of adsorbed adipic acid, evidenced by FTIR spectroscopy, probably causing screening of the active sites. After the initial activity decrease, the conversion remains steady and no additional adipic acid seems to be adsorbed. When the catalyst was withdrawn from the liquid phase just below the boiling point of the substrate, the autoxidation was observed to first come to a standstill, and to take off again only after a long induction period (Fig. 5). If the catalytic activity would stem from NHPI leached into the liquid phase, it would obviously remain unchanged after removal of the catalyst. All these observations strongly support the heterogeneous nature of the catalysis.

The immobilized-NHPI system was also used to study the influence of PINO• propagators on the product distribution. Indeed, PINO• reacts even faster with CyOOH than ROO• radicals, causing a stoichiometric conversion of CyOOH (reaction 34) [47].



As no subsequent cage-reaction can produce alcohol (viz. reaction 13), NHPI addition also causes a reversed Q=O/CyOH ratio (see Fig. 6).

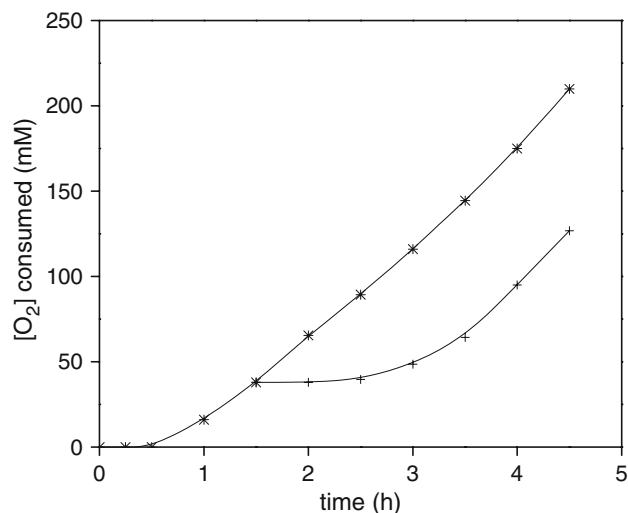


Fig. 5 Comparison of the 130 °C cyclohexane autoxidation activity of the immobilized NHPI catalyst (*, 0.1 mol%) and the activity of the supernatants, separated from the solid catalyst after 1.5 h (+) [47]

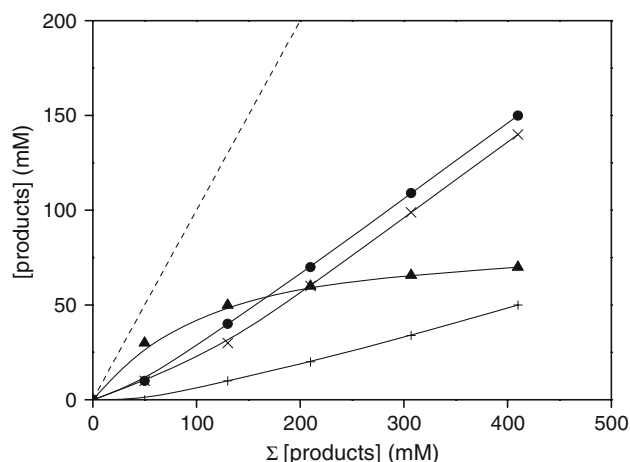


Fig. 6 Evolution of the cyclohexane autoxidation products at 130 °C in presence of 0.1 mol% immobilized NHPI as a function of the sum of products: CyOOH (▲), CyOH (×), Q=O (●), by-products (+) [47]. The dashed line represents the sum of products

Nevertheless, due to the nearly thermoneutral equilibrium (32) and the relatively high CyOOH concentrations, the PINO•/CyOO• ratio is estimated to be only about 0.04, explaining why there is no stronger effect on the product distribution.

It has been observed that the homogeneous addition of cobalt or manganese salts has a synergetic effect on the oxidation rate [43]. This effect can be understood in the frame of the reaction mechanism above: Co or Mn salts decompose the hydroperoxide via a Haber–Weiss cycle (reactions 30 and 31), thereby not only enhancing the initiation but also causing a boost in the PINO•/ROO• ratio. Indeed, shifting the equilibrium (32) towards the PINO• radicals offers an opportunity to improve the performance

of the system both with respect to activity and selectivity. The possibility to combine the heterogeneous NHPI system with immobilized transition metal ions thus appears as an interesting route to design active and selective autoxidation catalysts in the future.

7 Conclusions

The hitherto accepted autoxidation mechanism showed a number of deficiencies. Foremost, the pathways assumed to lead to the major end products alcohol and ketone/aldehyde are much too slow to explain the observed high yields. Instead, the abstraction of the weakly bonded α H-atom from the primary hydroperoxide product appears to be a crucial reaction, directly yielding ketone/aldehyde. A subsequent cage-reaction between the nascent products of this hydroperoxide propagation produces alcohol. This step is rendered possible by the large amount of heat released in the previous abstraction step, causing the formation of a nano-sized hot-spot in the liquid phase. As a consequence, before the nascent products can diffuse away from each other, they can react together to produce alcohol. The efficiency of this cage-reaction also depends on the stability of the nascent products, as evaluated experimentally for several substrate types. In the case of cyclohexane autoxidation, this subsequent cage-reaction also produces cyclohexoxy radicals which are efficiently converted to ring-opened by-products. Transition metal ions such as Co(II) can efficiently take over the role of hydroperoxide destructor from the peroxy radicals, thereby also acting as chain initiators. This however only causes a slight perturbation of the autoxidation chemistry—in contrast to the situation observed with chromium ions, which species appear to catalyze additional steps leading directly to (by-)products. Co-propagation of the radical chain by phthalimide-*N*-oxyl radicals, generated in situ from *N*-hydroxyphthalimide, cause a net destruction of hydroperoxide and an improved ketone to alcohol ratio as no subsequent cage-reaction can yield alcohol. A further exploration of the synergetic effects between both (heterogeneous) catalytic approaches should lead to more active and selective autoxidation catalysts.

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